

**PATENT**  
Attorney Docket No.: A-68292-2/RMS/DHR

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

LUO et al.

Serial No.: 09/843,159

Filed: 25 April 2001

For: Tankyrase H, Compositions  
Involved in the Cell Cycle and  
Methods of Use

Examiner: Not Assigned

Group Art Unit: 1653

COPY OF PAPERS  
ORIGINALLY FILED

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, **BOX MISSING PARTS**, Washington, DC 20231 on 27 Dec 2001.

Signed: Gail Diehl  
Gail Diehl

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
*Filing Date Granted*

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, *Filing Date Granted*, mailed 5 July 2001, enclosed are the following documents:

- 1) A copy of the Notice to File Missing Parts of Application, *Filing Date Granted*;
- 2) Executed Declaration for Patent Application (Ying Luo);
- 3) Executed Declaration for Patent Application (Eva Chan);
- 4) Executed Declaration for Patent Application (Xiang Xu, Betty Huang, and Valeria Ossovskaya);
- 5) Executed Power of Attorney by Assignee;
- 6) Preliminary Amendment Re Sequence Listing;
- 7) Sequence Listing: Paper Copy **and** Computer readable disk copy;

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8) Check in the amount of \$2,012.00 comprised of the following:

Filing Fee	\$370.00
Surcharge for filing the fee	
on a date later than the filing date	\$ 65.00
33 Total claims over 20 (\$9.00 each)	\$297.00
10 Independent claims over 3 (\$42.00 each)	\$420.00
Multiple Dependent Claim surcharge	\$140.00
Petition Fee for 4-Mo Extension of Time	\$720.00

9) Petition for Four (4) Month Extension of Time;  
10) Formal Drawings (18 Sheets); and  
11) Return postcard.

Applicant claims Small Entity status under 37 CFR 1.27.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extensions, or credit any overpayment to Deposit Account 06-1300 (File A-68292-2/RMS/DHR).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

By

  
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Date: 12/27/01  
1071153

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,159	04/25/2001	Yin Luo	A-68292-2/RMS/DHR

JAN 22 2002

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FLEHR HOHBACH FEST ALBRITTON & HERBERT LLP  
Four Embarcadero Center - Suite 3400  
San Francisco, CA 94111-1989

CONFIRMATION NO. 8575  
FORMALITIES LETTER



'OC000000006262891'

Date Mailed: 07/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$832.
  - \$297 for 33 total claims over 20.
  - \$400 for 10 independent claims over 3 .
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1252.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch)

FC:201  
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in height.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

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*A copy of this notice MUST be returned with the reply.*

*B\$*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE